

# Introduction to general relativity

Mikołaj Korzyński (CFT PAN)

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# General relativity - intro

- Current theory of gravitational forces and the geometry of spacetime
- Underlies much of astrophysics and cosmology
- Purely classical theory, no quantum effects
- Developed mostly by A. Einstein 1907-1915, influences from M. Grossmann, D. Hilbert

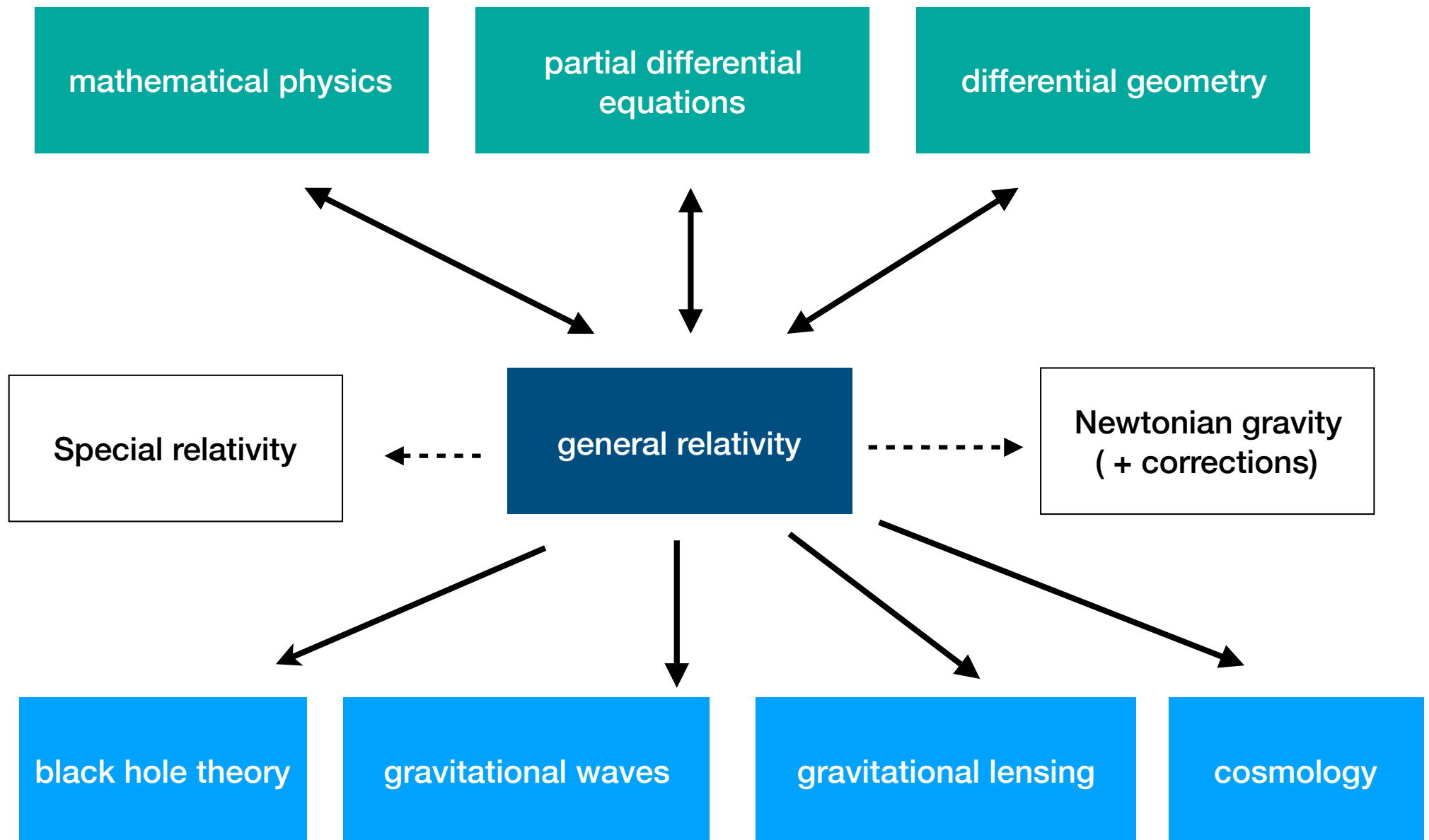
# General relativity - intro

- Gravity = weakest of all 4 fundamental interactions (electromagnetic, strong, weak, gravitational).

- For 2 protons:  $\frac{F_{grav}}{F_{EM}} = \frac{G m_p^2 / r^2}{e^2 / (4\pi\epsilon_0 r^2)} \approx 10^{-36}$

- It has no preferred length/energy scale
- Becomes significant only if we accumulate large masses
- But: long range interaction, cannot be screened/shielded (unlike EM forces).
- Therefore: dominating force on largest scales

# General relativity - intro



# History of GR

**1907-1916** A. Einstein (D. Hilbert, M. Grossman) - development of GR

**1916** A. Einstein - gravitational waves in linearized (weak) gravity. But are they real?

**1917** K. Schwarzschild - first solution: massive body, black hole

**1919** A. Eddington - measurement of light ray bending by the Sun

**1922-1935** A. Friedmann, G. Lemaître, H. P. Robertson, A. Walker - expanding Universe solutions. Beginning of modern (theoretical) cosmology

**1920-1950's** Geometry of the Schwarzschild black hole (A. Eddington, G. Lemaître, D. Finkelstein, M. Kruskal), event horizon

**1920-1930's** A. Einstein, A. Eddington, R. Mandl... - masses may act as lenses for light

**1920's-1960's** Exact GW solutions - Brinkmann, A. Einstein and N. Rosen, J. Ehlers and W. Kundt, I. Robinson and A. Trautman

**1960's** A. Trautman, H. Bondi, F. Pirani, I. Robinson - GW are real (carry energy)

**1959** Einstein field equations formulated as evolution equations (R. Arnowitt, S. Deser, C. Misner)

# History of GR

**1960's** other tests of GR in Solar System and on Earth: R. Pound and G. Rebka, I. Shapiro, R. Dicke...

**1963** R. Kerr - spinning black hole solution (E. T. Newman, J. N. Goldberg, R. K. Sachs, ...)

**1960's-1970's** R. Penrose, S. Hawking - singularity theorems (black holes, Big Bang)

**1970's** Evidence for Cygnus X-1 being an accreting black hole

**1971-78** double pulsar PSR 1513+16 and effects of its GW emission: R. A. Hulse, J. H. Taylor

**1970's-1990's** evidence for SMBH in the centers of many galaxies

**1979** first strong gravitational lens, double image of a quasar (Walsh, Cardswell, Waymann)

**1960's-1980's** gravitational lensing theory (S. Refsdahl, R. Bourassa, R. Kantowski, P. Schneider, B. Paczyński...)

**1990's-2010's** Numerical relativity (M. Choptuik, ...), binary black hole mergers and their GW emission (F. Pretorius, ...)

**2015** First detection of gravitational wave using interferometers (LIGO, Virgo)

**2017** Black hole shadow image of M87 (Event Horizon Telescope)

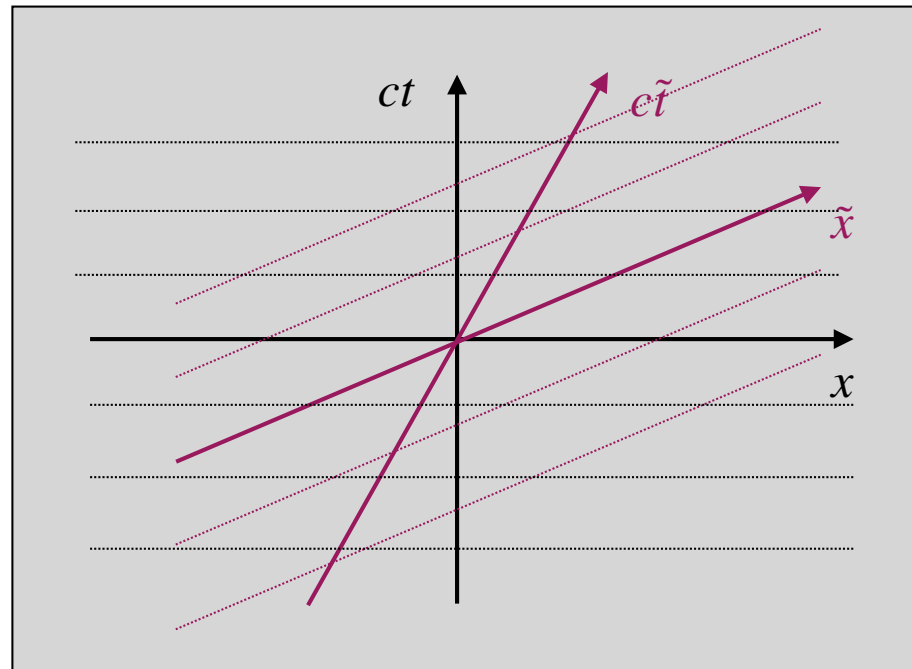
# History of GR

## Nobel Prizes in Physics related to GR (or distantly related)

- **2020** Roger Penrose “for the discovery that black hole formation is a robust prediction of the general theory of relativity”, Reinhard Genzel and Andrea Ghez “for the discovery of a supermassive compact object at the centre of our galaxy” (black holes)
- **2019** James Peebles “for theoretical discoveries in physical cosmology” (cosmology)
- **2017** Rainer Weiss, Barry C. Barish and Kip S. Thorne “for decisive contributions to the LIGO detector and the observation of gravitational waves” (gravitational waves)
- **2011** Saul Perlmutter, Brian P. Schmidt and Adam G. Riess “for the discovery of the accelerating expansion of the Universe through observations of distant supernovae” (cosmology)
- **2006** John C. Mather and George F. Smoot “for their discovery of the blackbody form and anisotropy of the cosmic microwave background radiation” (cosmology)
- **1993** Russell A. Hulse and Joseph H. Taylor Jr. “for the discovery of a new type of pulsar, a discovery that has opened up new possibilities for the study of gravitation” (gravitational waves)
- **1983** Subramanyan Chandrasekhar “for his theoretical studies of the physical processes of importance to the structure and evolution of the stars”

# Topic 1

## Special relativity - brief summary



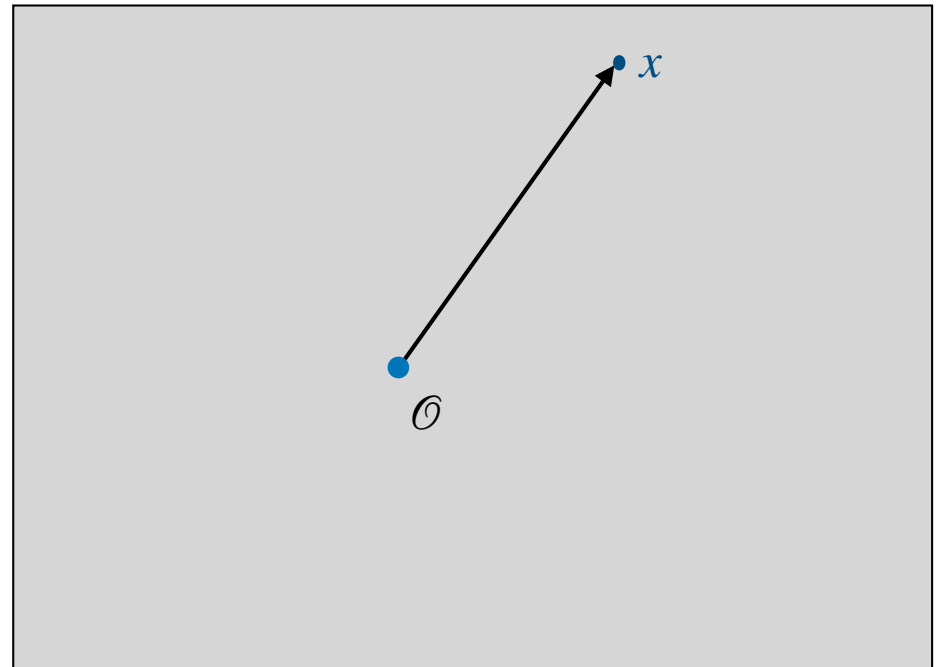
Just a summary, see:

- B. Schutz, "A First Course in General Relativity"
- J. B. Hartle, „Gravity: An Introduction to Einstein’s General Relativity”
- ...



# Special relativity

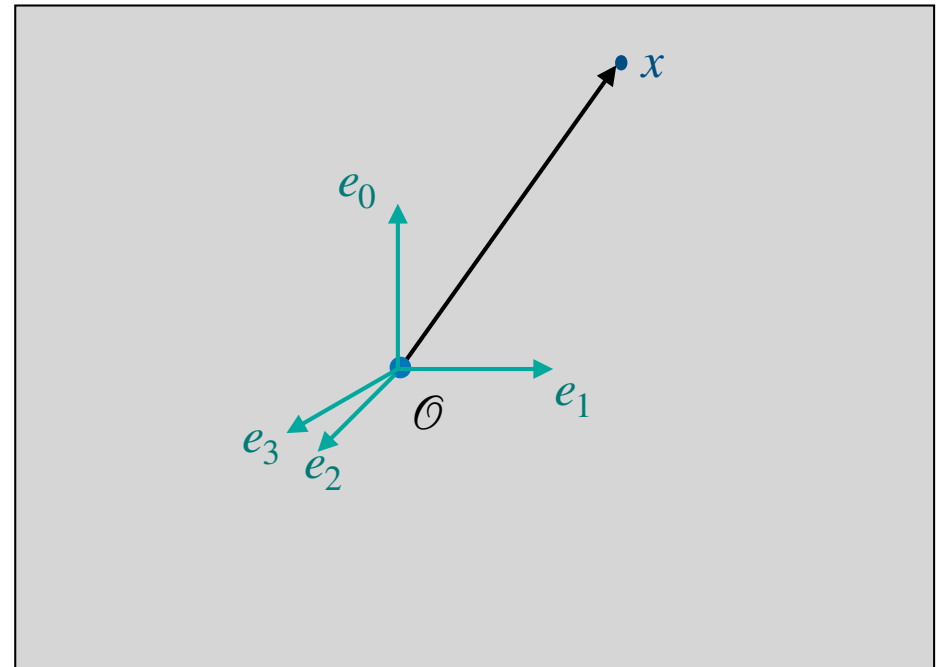
- Physical phenomena take place in **spacetime** (4D object)
- Affine space with 3+1 dimensions
- points = **events**



*indices, not exponents*

# Special relativity

- Physical phenomena take place in **spacetime** (4D object)
- Affine space with 3+1 dimensions
- points = **events**
- **Inertial frame** = idealized system of synchronized clocks and ranging/measuring devices used for assigning coordinates to points



- mathematical definition: basis of 4 vectors, defining the coordinate system

$$x - \mathcal{O} = x^0 e_0 + x^1 e_1 + x^2 e_2 + x^3 e_3$$

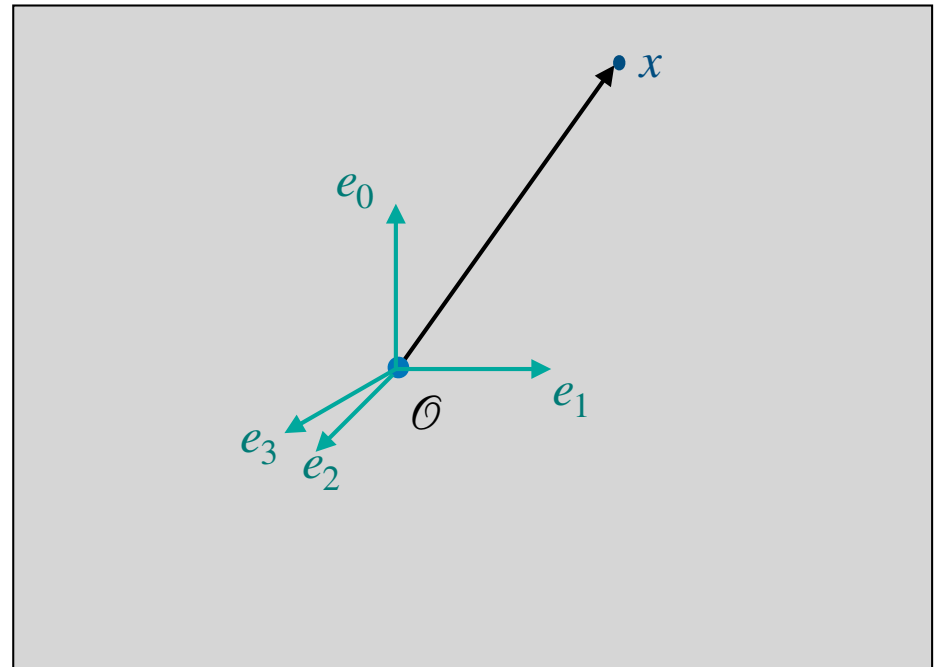
$$(ct, x, y, z) = (x^0, x^1, x^2, x^3)$$

*indices, not exponents*

# Special relativity

- Intuition:  $e_0$  corresponds to time flow (motion of an observer related to that frame)
- $e_1, e_2, e_3$  define purely spatial directions, orthonormal. Span a 3-space
- geometry of 3-space = Euclidean
- frame usually connected to a physical observer (body)

$$x^1 = x^2 = x^3 = 0$$



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  - **Principle of relativity** - inertial frames move with respect to each other with constant velocity. They are equivalent from the point of view of mechanics (and other physical laws)

identical experiments  $\implies$  the same results in any inertial frame

cannot detect absolute velocity of an inertial frame without an external reference (no absolute motion)

laws of physics take a simple form in inertial frames

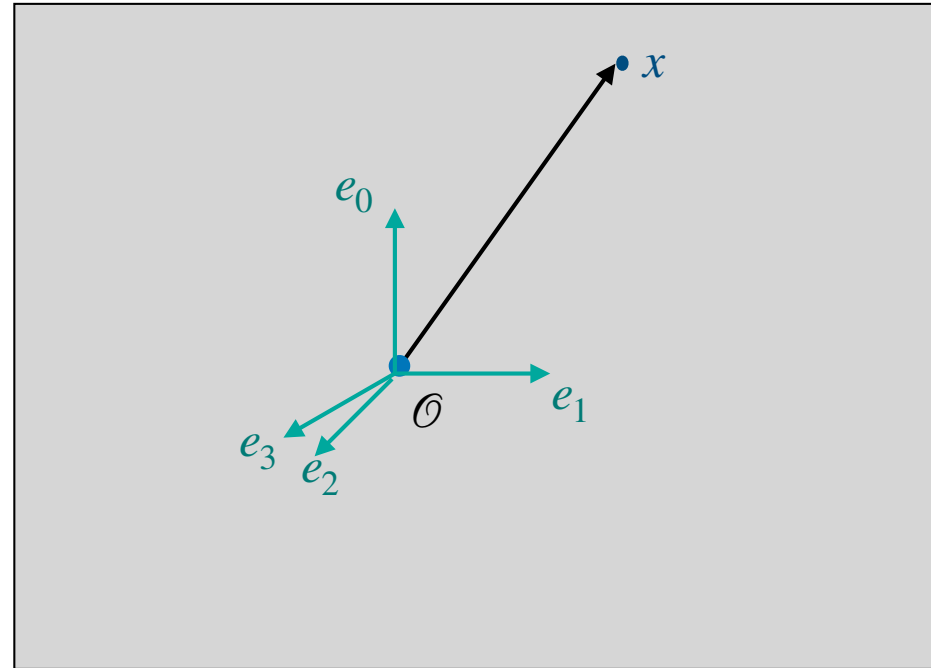
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# Special relativity - postulates

- Special relativity has been derived from the following assumptions:
  - **Principle of relativity** - inertial frames move with respect to each other with constant velocity. They are equivalent from the point of view of mechanics (and other physical laws)
    - identical experiments  $\implies$  the same results in any inertial frame
    - cannot detect absolute velocity of an inertial frame without an external reference (no absolute motion)
    - laws of physics take a simple form in inertial frames
    - assumed also in Newtonian mechanics
  - **Speed of light in vacuum is constant** - speed of light in vacuum is exactly  $c = 299\,792\,458$  m/s as measured in any inertial frame
    - we need to abandon:
      - standard notion of global, absolute time (relativity of simultaneity, time dilation)
      - notion of frame-independent distances (Lorentz contraction)
      - additivity of velocities

# Special relativity

Inertial frames related to each other by  
**Lorentz transformations**



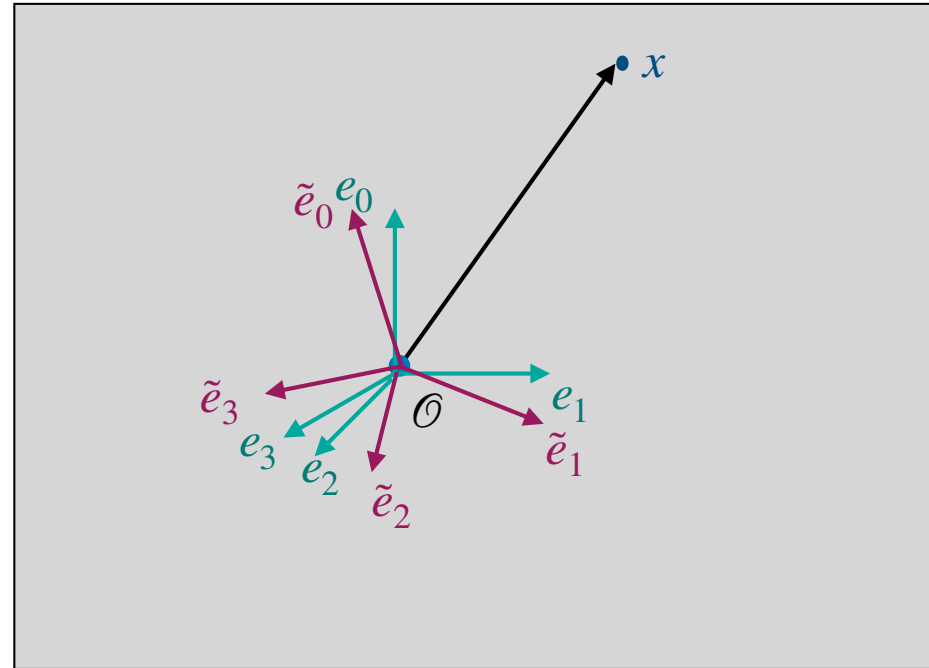
# Special relativity

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$$\Lambda^T \eta \Lambda = \eta \quad \eta = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\tilde{e}_\nu = \sum_{\mu=0}^3 (\Lambda^{-1})^\mu{}_\nu e_\mu$$

$$\tilde{x}^\mu = \sum_{\nu=0}^3 \Lambda^\mu{}_\nu x^\nu + b^\mu \quad \text{if we shift the origin}$$





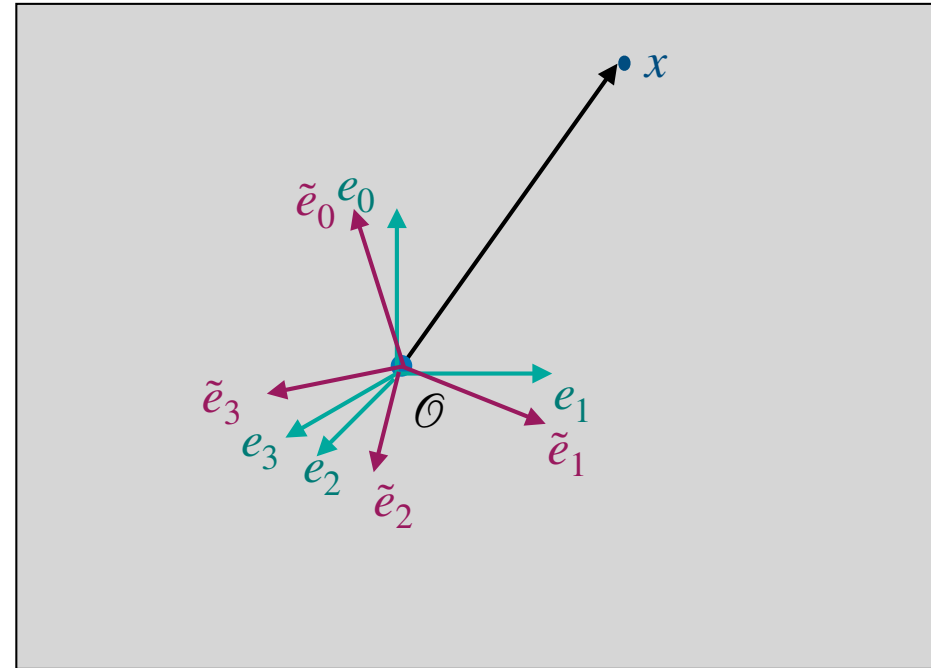
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transforms in general mix time and space dimensions

# Special relativity

- Invariant **interval** between events

$$u - w = (\Delta x^0) e_0 + (\Delta x^1) e_1 + (\Delta x^2) e_2 + (\Delta x^3) e_3$$

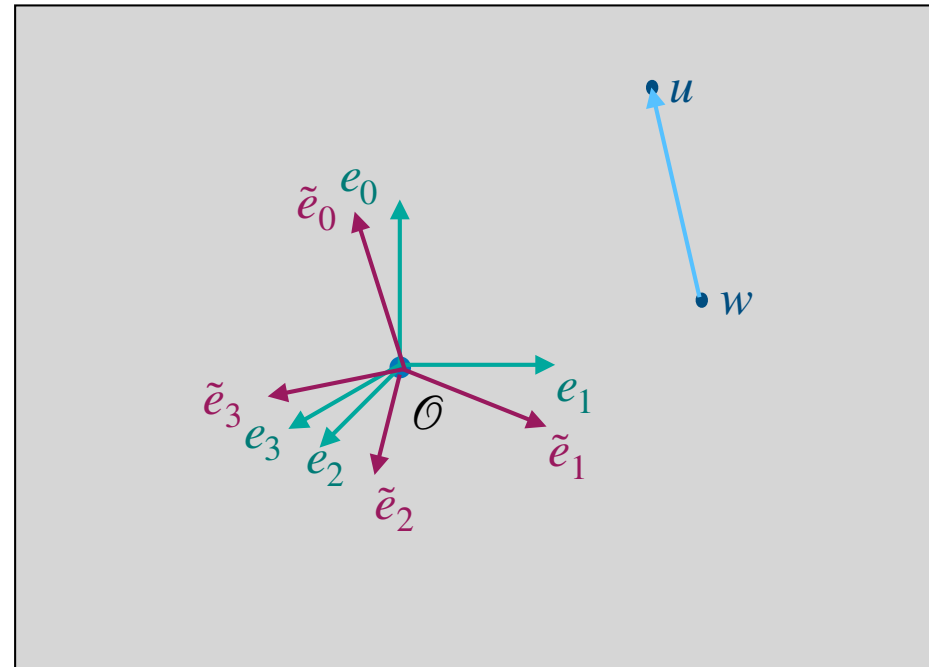
$$\Delta s^2 = -(\Delta x^0)^2 + (\Delta x^1)^2 + (\Delta x^2)^2 + (\Delta x^3)^2$$

$$\Delta s^2 = -(\Delta \tilde{x}^0)^2 + (\Delta \tilde{x}^1)^2 + (\Delta \tilde{x}^2)^2 + (\Delta \tilde{x}^3)^2$$

We may also define an invariant product of vectors

$$X \cdot Y = -X^0 Y^0 + X^1 Y^1 + X^2 Y^2 + X^3 Y^3 = \sum_{\mu, \nu} X^\mu Y^\nu \eta_{\mu\nu}$$

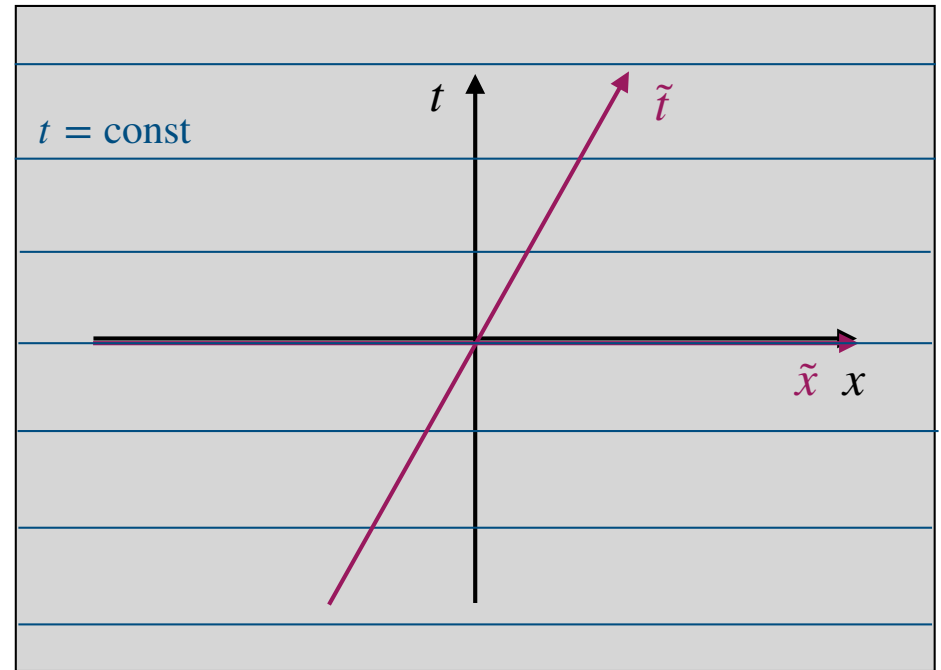
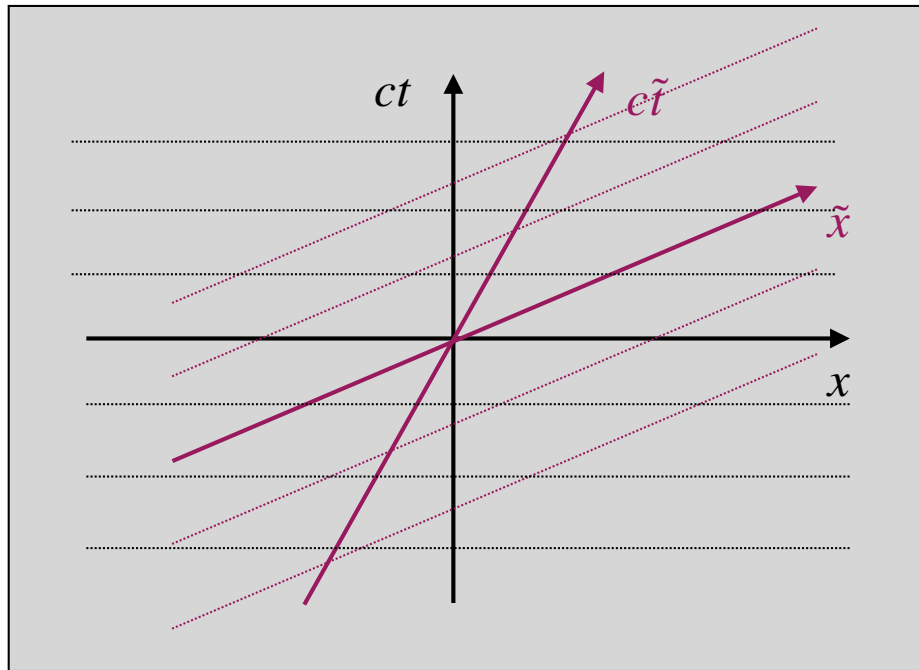
$$\Delta s^2 = (u - w) \cdot (u - w)$$



NOT positive definite, for two different points  $u, w$   $\Delta s^2$  may be  $> 0$ ,  $< 0$  and  $=0$

# SR vs. Newtonian physics

Inertial frame (observer)  $\mathcal{O}$  and  $\tilde{\mathcal{O}}$ , moving with velocity  $v$  in direction  $x$  wrt  $\mathcal{O}$



$$c\tilde{t} = \gamma ct - \gamma \frac{vx}{c}$$

$$\tilde{x} = \gamma \frac{v}{c} ct - \gamma x$$

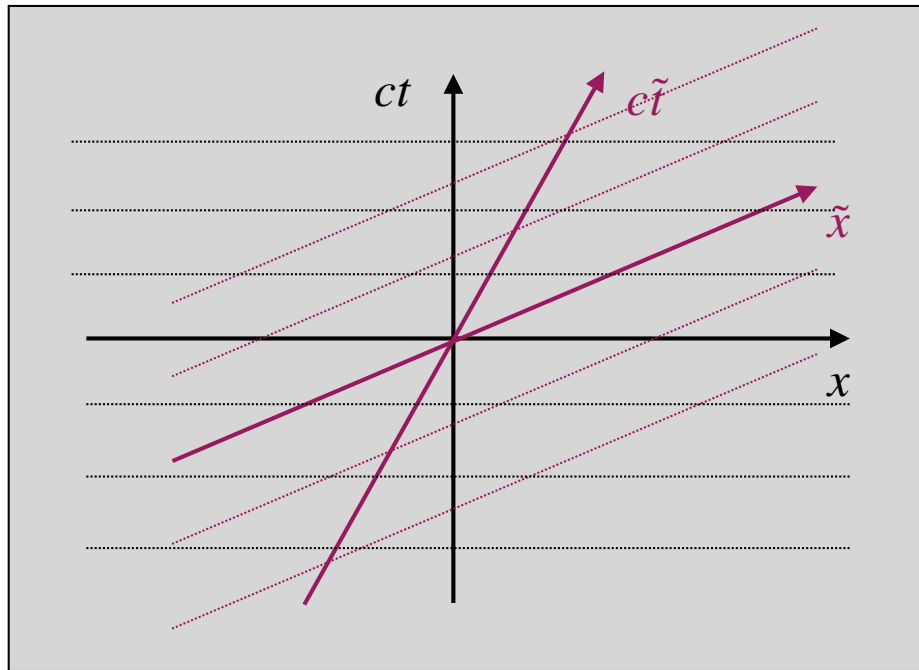
$$\gamma \equiv \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$$\tilde{t} = t$$

$$\tilde{x} = x - vt$$

# Lorentz boosts

General boost with velocity  $\vec{v}$



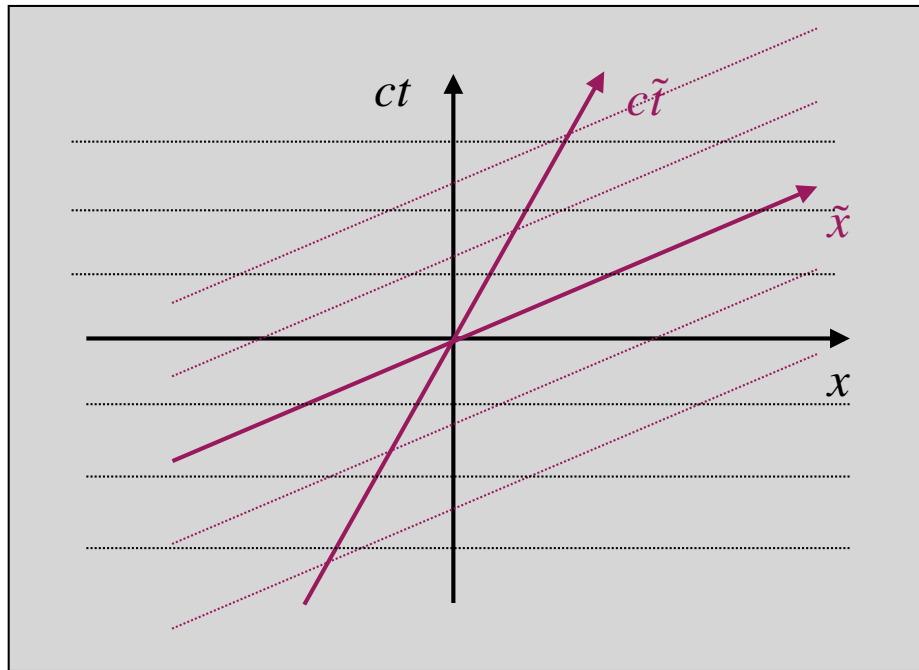
$$c\tilde{t} = \gamma ct - \gamma \sum_{i=1}^3 \frac{v^i}{c} x^i$$

$$\tilde{x}^i = -\gamma \frac{v^i}{c} ct + \gamma x_{\parallel}^i + x_{\perp}^i$$

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Inverse relation:  $\vec{v} \rightarrow -\vec{v}$

$$ct = \gamma c\tilde{t} + \gamma \sum_{i=1}^3 \frac{v^i}{c} \tilde{x}^i$$

$$x^i = \gamma \frac{v^i}{c} c\tilde{t} + \gamma \tilde{x}^i$$

# Special relativity

## Conventions simplifying life:

measure time with meters

$$t_{New} = c t_{Old} \quad [m]$$

$$v_{New} = v_{Old}/c \quad [1]$$

$$c_{New} = 1 \quad \text{simplifies many formulas}$$

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## Einstein's summation convention

$$a_{\mu} b^{\mu} \equiv \sum_{\mu=0}^3 a_{\mu} b^{\mu} = a_0 b^0 + a_1 b^1 + a_2 b^2 + a_3 b^3 \quad \text{Greek indices} = 0, 1, 2, 3$$

$$c_{\mu\nu} d^{\mu} e^{\nu} \equiv \sum_{\mu=0}^3 \sum_{\nu=0}^3 c_{\mu\nu} d^{\mu} e^{\nu} = \sum_{\nu=0}^3 \sum_{\mu=0}^3 c_{\mu\nu} d^{\mu} e^{\nu} \quad \text{index } \mathbf{contracted} \text{ with another index}$$

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$$\begin{aligned} c_{\mu\nu} d^{\mu} e^{\nu} \equiv & c_{00} d^0 e^0 + c_{01} d^0 e^1 + c_{02} d^0 e^2 + c_{03} d^0 e^3 \\ & + c_{10} d^1 e^0 + c_{11} d^1 e^1 + c_{12} d^1 e^2 + c_{13} d^1 e^3 \\ & + c_{20} d^2 e^0 + c_{21} d^2 e^1 + c_{22} d^2 e^2 + c_{23} d^2 e^3 \\ & + c_{30} d^3 e^0 + c_{31} d^3 e^1 + c_{32} d^3 e^2 + c_{33} d^3 e^3 \end{aligned}$$



# Special relativity

Einstein's summation condition cont.

$$a_\mu b^\mu = a_\alpha b^\alpha = a_\gamma b^\gamma = \dots = a_0 b^0 + a_1 b^1 + a_2 b^2 + a_3 b^3$$

$$a_\mu b^\mu = b^\mu a_\mu$$

$$c_{\mu\nu} d^\mu e^\nu = c_{\mu\nu} e^\nu d^\mu = d^\mu e^\nu c_{\mu\nu} = \dots$$

but  $c_{\mu\nu} d^\mu e^\nu \neq c_{\mu\nu} d^\nu e^\mu$

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Dummy (summation) indices vs free indices

$$\tilde{x}^\mu = \Lambda^\mu_{\nu} x^\nu$$

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$$a_\mu b_\mu, \quad c^\sigma d^\sigma \quad \text{undefined}$$

$$a_\alpha b^\alpha c_\alpha \quad \text{undefined}$$

# Special relativity

$$(t, x^i) \equiv (x^0, x^i)$$

General Lorentz boost to frame moving with velocity  $\vec{v}$

$$\begin{pmatrix} \tilde{x}^0 \\ \tilde{x}^1 \\ \tilde{x}^2 \\ \tilde{x}^3 \end{pmatrix} = \begin{pmatrix} \gamma & -\gamma v^1 & -\gamma v^2 & -\gamma v^3 \\ -\gamma v^1 & 1 + \frac{\gamma-1}{\vec{v}^2} (v^1)^2 & \frac{\gamma-1}{\vec{v}^2} v^1 v^2 & \frac{\gamma-1}{\vec{v}^2} v^1 v^3 \\ -\gamma v^2 & \frac{\gamma-1}{\vec{v}^2} v^1 v^2 & 1 + \frac{\gamma-1}{\vec{v}^2} (v^2)^2 & \frac{\gamma-1}{\vec{v}^2} v^2 v^3 \\ -\gamma v^3 & \frac{\gamma-1}{\vec{v}^2} v^1 v^3 & \frac{\gamma-1}{\vec{v}^2} v^2 v^3 & 1 + \frac{\gamma-1}{\vec{v}^2} (v^3)^2 \end{pmatrix} \begin{pmatrix} x^0 \\ x^1 \\ x^2 \\ x^3 \end{pmatrix} \quad \gamma = \frac{1}{\sqrt{1 - \vec{v}^2}}$$

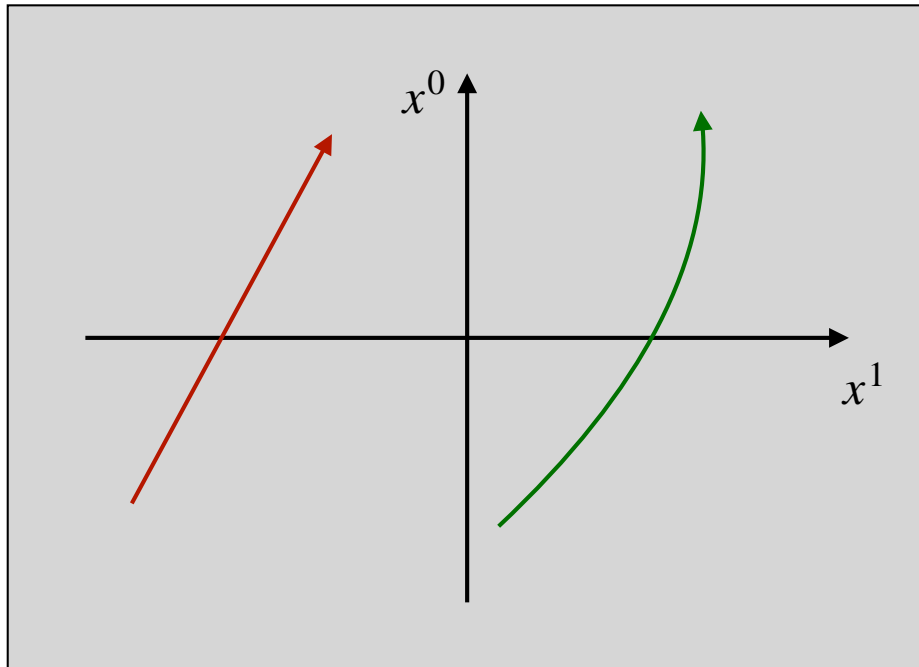


$$\Lambda^\mu{}_\nu(\vec{v})$$

$$\Lambda(\vec{v})^{-1} = \Lambda(-\vec{v})$$

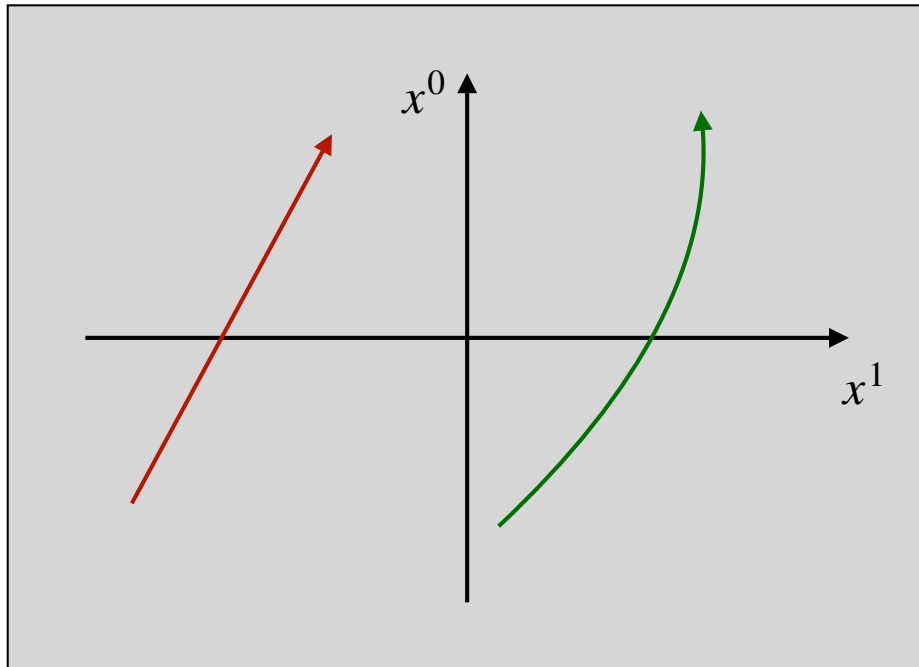
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Motions of massive particles described by **worldlines**  $x^\mu(\lambda)$



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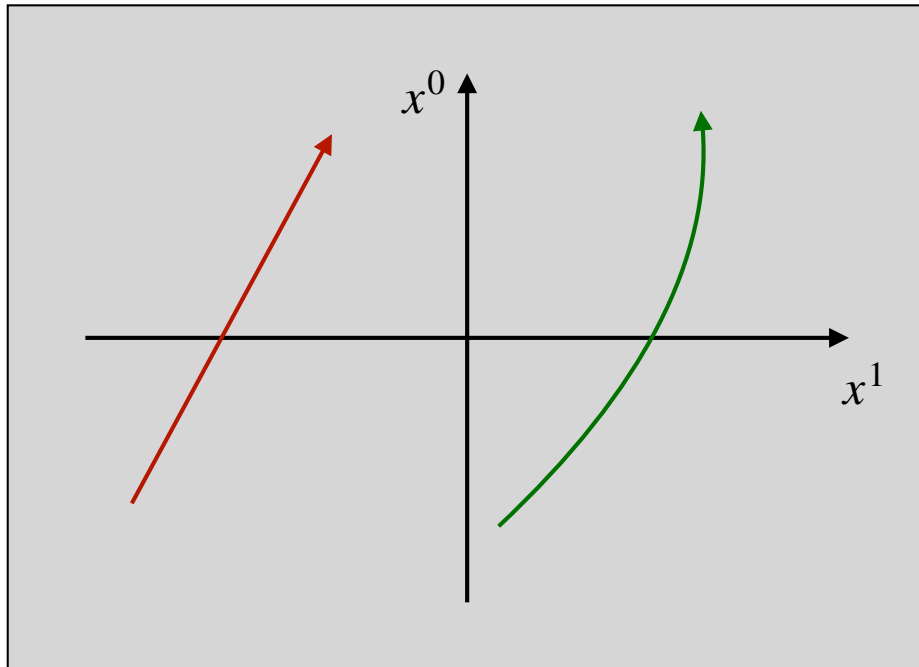


simplest parametrization: **coordinate time**

$$x^\mu(x^0) = \begin{pmatrix} x^0 \\ x^1(x^0) \\ x^2(x^0) \\ x^3(x^0) \end{pmatrix} \quad \frac{dx^\mu(x^0)}{dx^0} = \begin{pmatrix} 1 \\ v^1 \\ v^2 \\ v^3 \end{pmatrix}$$

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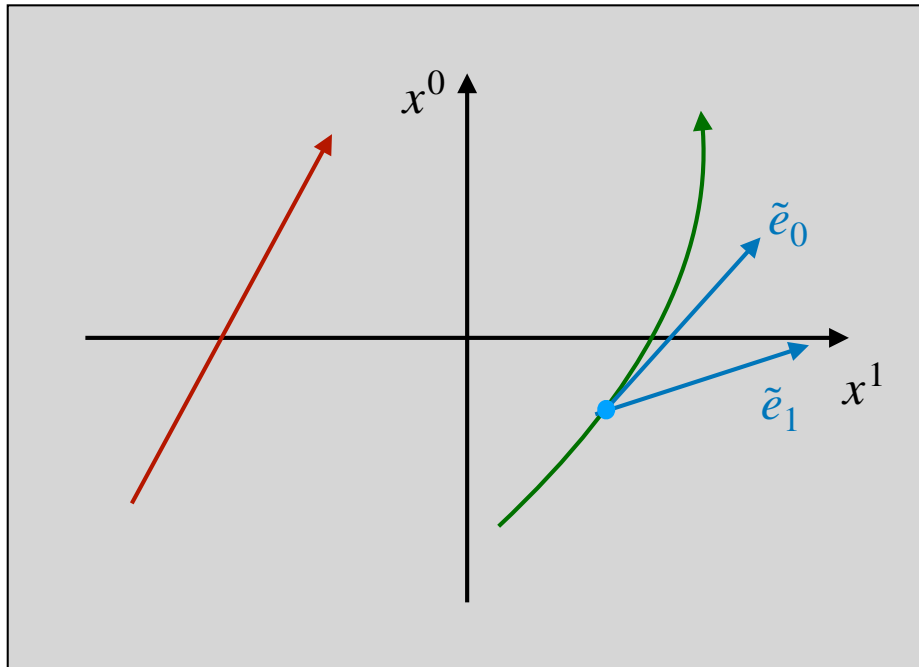
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$$|\vec{v}| < 1 \iff \frac{dx^\mu(x^0)}{dx^0} \frac{dx^\nu(x^0)}{dx^0} \eta_{\mu\nu} < 0$$

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(momentrarily) **comoving frame**:

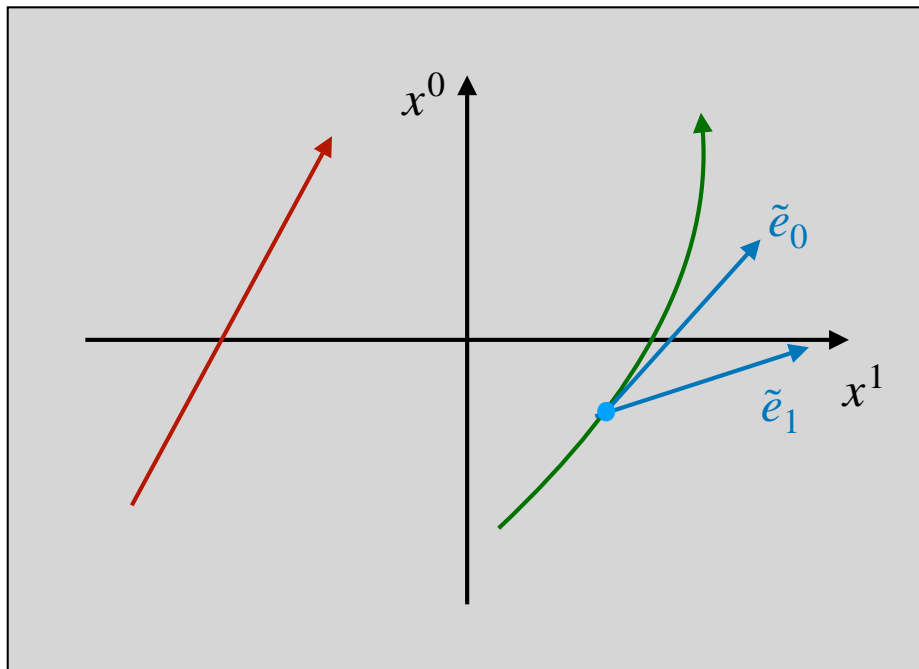
$$\tilde{e}_0^\mu = C \begin{pmatrix} 1 \\ v^i \end{pmatrix}$$

$$\tilde{e}_0^\mu \tilde{e}_{0\mu} = -1 \implies C = \frac{1}{\sqrt{1-v^2}} \equiv \gamma$$



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(momentarily) **comoving frame**:

$$\tilde{e}_0^\mu = C \begin{pmatrix} 1 \\ v^i \end{pmatrix}$$

$$\tilde{e}_0^\mu = u^\mu = \begin{pmatrix} \gamma \\ \gamma v^i \end{pmatrix}$$

Body's momentary **4-velocity**  $u^\mu$

$$\tilde{e}_0^\mu \tilde{e}_{0\mu} = -1 \implies C = \frac{1}{\sqrt{1-v^2}} \equiv \gamma$$